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## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(e)

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Attorney Docket	UCAL-282
First Named Inventor	Karl H. WEISGRABER
Application Number	10/626,415
Confirmation No.	2272
Filing Date	July 23, 2003
Group Art Unit	1657
Examiner Name	Kailash Srivastava

Title: "APOLIPOPROTEIN E STABLE FOLDING INTERMEDIATE AND METHODS OF USE

THEREOF"

Sir:

Applicants submit herewith patents and/or publications which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56. While this Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is "prior art" for this invention unless specifically designated as such. A listing of patents and/or publications is shown on enclosed Form PTO-SB/08A and a copy of each patent and/or publication is also enclosed.

Each item of information contained in the Information Disclosure Statement filed herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement (37 C.F.R. 1.97(e)(1)). A copy of the communication is enclosed for the Examiner's convenience.

The Examiner is requested to make the citations listed on the enclosed PTO-SB/08A of record in this application. Applicants would appreciate the Examiner initialing and returning the initialed copy of form PTO-SB/08A, indicating the references have been considered and made of record herein.

No fee is believed due as this statement is being submitted within three months of the mailing date of the enclosed foreign communication. However, if it is determined that fees are required, the Commissioner is hereby authorized to charge any necessary fees associated with this communication or credit any overpayment to Deposit Account No. 50-0815, Order No. UCAL-282.

Respectfully submitted,

BOZICEVIC, FIELD & FRANCIS LLP

Paula A. Borden, Reg. No. 42,344

Date: <u>May 4, 2007</u>

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PTO/SB/08a (09-06) Approved for use through 03/31/2007. OMB 0651-0031

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	Substitute for form 1449A/PTO		<i>y</i> -	C	omplete if Known		
				Application Number	10/626,415		
	INFORMATION DISC	LOS	SURE	Filing Date	July 23, 2003		
	STATEMENT BY APP	PLIC	ANT	First Named Inventor	Karl H. WEISGRABER		
				Art Unit	1657		
	(Use as many sheets as nee	cessa	ny)	Examiner Name	Kailash Srivastava		
Sheet	1	of	2	Attorney Docket Number	UCAL-282		

			U.S. PATENT I	DOCUMENTS	
Examiner Initials'	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 2002/0009439	01-24-2002	MAHLEY et al.	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials'	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
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Examiner	Date	
Signature	Considered	L

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete. including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449B/PTO		Complete if Known				
		Application Number	10/626,415			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use as many sheets as necessary)			SURF	Filing Date	July 23, 2003	
				First Named Inventor	Karl H. WEISGRABER	
			CANI	Art Unit	1657	
			ny)	Examiner Name	Kailash Srivastava	
Sheet	2	of	2	Attorney Docket Number	UCAL-282	

NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
		ACHARYA et al., (2001) Thermal Denaturation Studies of the Biophys. J., Vol. 80, page 147a	Isoforms of Human Apolipopotein E,				
		MORROW et al., (2002) Apolipoprotein E4 Forms a Molten G 50380-50385	lobule, J. Biol. Chem., Vol. 277, page				
		PILLOT et al., (1997) Specific modulation of the fusogenic peptide by apolipoprotein E isoforms, Eur. J. Biochem., Vol. 24	3, pages 650-659	j			
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Examiner			Date	_			
Signature	I	· ·	Considered				

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.